



December 31, 2020

**TO: The Individual Responsible for Preparing FFIEC 009 and 009a Country Exposure Reports**

Forms and instructions for the quarterly Country Exposure Report (FFIEC 009) and its supplemental Country Exposure Information Report (FFIEC 009a) to be used for the December 31, 2020, report date can be found on the Federal Financial Institutions Examination Council's (FFIEC) public website at [https://www.ffiec.gov/forms009\\_009a.htm](https://www.ffiec.gov/forms009_009a.htm). There are no changes to the report forms or instructions this quarter. A set of frequently asked questions for the FFIEC 009 report, which was last updated as of September 30, 2015, also is available on the FFIEC's website at [https://www.ffiec.gov/forms009\\_009a.htm](https://www.ffiec.gov/forms009_009a.htm).

Reporting institutions must use the Federal Reserve System's Reporting Central application to electronically submit their completed FFIEC 009 and FFIEC 009a reports to the Federal Reserve Bank of New York. The required file formats for submitting FFIEC 009 and FFIEC 009a data via Reporting Central are outlined in the Reporting Central User Guides, which can be found at <https://www.frbservices.org/central-bank/reporting-central/user-guides.html>. If you have any questions regarding Reporting Central, please send an e-mail to [nyreportingcentralcommunication@ny.frb.org](mailto:nyreportingcentralcommunication@ny.frb.org).

Completed FFIEC 009 and FFIEC 009a reports for December 31, 2020, must be electronically submitted no later than Friday, February 19, 2021. Any reports received after February 19, 2021, will be considered late. In addition, the accuracy of the submitted reports will be monitored through the use of validity edits. If you have any questions regarding the validity edits, please send an e-mail to the International Exposures Team at the Federal Reserve Bank of New York at [idmintexp@ny.frb.org](mailto:idmintexp@ny.frb.org).

Individual FFIEC 009 reports will be regarded as confidential. Neither the individual reports nor any aggregate data that may indicate the activities of individual institutions will be publicly disclosed. However, individual FFIEC 009a reports are published and made available on the FFIEC's public website at <https://www.ffiec.gov/E16.htm>.

All questions concerning the FFIEC 009 and FFIEC 009a reports should be addressed to Dana Tilotta, Officer - Regulatory Data, Federal Reserve Bank of New York, at (212) 720-8107 or [Dana.Tilotta@ny.frb.org](mailto:Dana.Tilotta@ny.frb.org), or the International Exposures Team responsible for the collection of these reports at the Federal Reserve Bank of New York at [idmintexp@ny.frb.org](mailto:idmintexp@ny.frb.org).

*signed by*

Judith E. Dupré  
Executive Secretary