



August 3, 2016

TO: The Individual Responsible for Preparing the Country Exposure Report (FFIEC 009) and the Country Exposure Information Report (FFIEC 009a), or the Country Exposure Report for U.S. Branches and Agencies of Foreign Banks (FFIEC 019)

SUBJECT: Revisions to the FFIEC 009 and FFIEC 009a Reports

The Federal Financial Institutions Examination Council (FFIEC) has given final approval to the two changes to the Country Exposure Report (FFIEC 009) and the Country Exposure Information Report (FFIEC 009a) that were published for public comment in the *Federal Register* on April 14, 2016. Subject to approval by the U.S. Office of Management and Budget, the federal banking agencies will implement these revisions as of the September 30, 2016, report date. No changes have been proposed for the Country Exposure Report for U.S. Branches and Agencies of Foreign Banks (FFIEC 019).

The revisions to the FFIEC 009 and FFIEC 009a reports include:

1. The collection of an institution's Legal Entity Identifier (LEI), only if it already has an LEI, on the cover page of the FFIEC 009 and FFIEC 009a report forms; and
2. An expansion of the scope of entities that must file the FFIEC 009 and FFIEC 009a reports to include U.S. Intermediate Holding Companies.

The agencies' July 20, 2016, *Federal Register* notice about their submission of these revisions to OMB for review and approval as well as draft revised report forms and instructions for the FFIEC 009 and FFIEC 009a reports may be obtained at https://www.ffiiec.gov/forms009_009a.htm.

If you have any questions concerning the revisions to the FFIEC 009 and FFIEC 009a reports, please contact Henry Castillo, Staff Director, Financial Flows Division, at (212) 720-1318 or henry.castillo@ny.frb.org, or Alex Santana, Officer of that Division, at (212) 720-6357 or alex.santana@ny.frb.org.

Judith E. Dupré
Executive Secretary