



September 30, 2014

TO: The Individual Responsible for Preparing FFIEC 009 and 009a Country Exposure Reports

Forms and instructions for the quarterly Country Exposure Report (FFIEC 009) and its supplemental Country Exposure Information Report (FFIEC 009a) to be used for the September 30, 2014, report date can be found at http://www.ffiec.gov/forms009_009a.htm. There are no changes to the report forms this quarter. The instructions for the FFIEC 009a report have been updated this quarter and a revised set of frequently asked questions for the FFIEC 009 report also has been posted at http://www.ffiec.gov/forms009_009a.htm.

Reporting institutions must use the Federal Reserve System's Reporting Central application to electronically submit their completed FFIEC 009 and FFIEC 009a reports to the Federal Reserve Bank of New York. Although Reporting Central has replaced the former Internet Electronic Submission (IESUB) application, the required file formats for submitting FFIEC 009 and FFIEC 009a data via Reporting Central remain unchanged. Thus, the file formats outlined in the IESUB User Guide should continue to be used and can be found at http://www.frbservices.org/centralbank/reportingcentral/iesub_guides.html. If you have any questions regarding Reporting Central, please send an e-mail to nyreportingcentralcommunication@ny.frb.org.

Completed FFIEC 009 and FFIEC 009a reports for September 30, 2014, must be electronically submitted no later than Friday, November 14, 2014. Any reports received after November 14, 2014, will be considered late. In addition, the accuracy of the submitted reports will be monitored through the use of validity edits that were updated for the revised country exposure reporting requirements that took effect December 31, 2013. A checklist of these edits, which can be used to validate the accuracy of the FFIEC 009 and FFIEC 009a reports before they are submitted, is available at http://www.newyorkfed.org/banking/reportingforms/FFIEC_009_9a.html.

Individual FFIEC 009 reports will be regarded as confidential. Neither the individual reports nor any aggregate data that may indicate the activities of individual institutions will be publicly disclosed. However, individual FFIEC 009a reports are published and made available on the FFIEC's public Web site at <http://www.ffiec.gov/E16.htm>.

All questions concerning the FFIEC 009 and FFIEC 009a reports should be addressed to Anthony Cirillo, Vice President, Statistics Function, Federal Reserve Bank of New York, at (212) 720-2211 or Anthony.Cirillo@ny.frb.org, or Alex Santana, Department Head of the Cross Border Data Department, at (212) 720-6357 or Alex.Santana@ny.frb.org.



Judith E. Dupré
Executive Secretary