

**FFIEC 002 Draft Reporting Form and Instructions for  
Revisions Related to Brokered Deposits and Sweep Deposits**

The following draft reporting form and draft instructions, both of which are subject to change, present the pages from the FFIEC 002 as they are proposed to be revised as of the June 30, 2021, report date. These proposed revisions are described in the federal banking agencies' initial Paperwork Reduction Act [Federal Register Notice](#) published on February 5, 2021.

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**Schedule O—Continued**

	Dollar Amounts in Thousands		Reporting Branch Excluding Its IBF		
	RCFN	Amount	RCFN	Amount	
<i>Memorandum item 2 is to be completed by branches with \$1 billion or more in total claims on nonrelated parties.<sup>2</sup></i>					
2. Estimated amount of uninsured deposits in the branch, including related interest accrued and unpaid <sup>3</sup> .....	5597				M.2.
3. Preferred deposits (to be completed for the December report only) .....	5590				M.3.
4. and 5. Not applicable					
6. Outstanding balance of Paycheck Protection Program (PPP) loans .....	LG27				M.6.
7. Quarterly average amount of holdings of assets purchased from money market funds under the Money Market Mutual Fund Liquidity Facility .....	LL58				M.7.

← Insert A

- 2. The \$1 billion size test is generally based on the total claims on nonrelated parties (Schedule RAL, item 1.i, column A) reported in the preceding calendar year's June 30 FFIEC 002.
- 3. Uninsured deposits should be estimated based on the deposit insurance limits set forth in Memorandum items 1.a through 1.d.

**Schedule P—Other Borrowed Money**

NOTE: Exclude all transactions with related depository institutions.

	Dollar Amounts in Thousands		(Column A) Total Reporting Branch or Agency Including Its IBF		(Column B) IBF Only		
	RCFD	Amount	RCFN	Amount	RCFN	Amount	
1. Owed to nonrelated commercial banks in the U.S. (including their IBFs):							
a. Owed to U.S. offices of nonrelated U.S. banks .....	3312		3312				1.a.
b. Owed to U.S. branches and agencies of nonrelated foreign banks .....	3313		3313				1.b.
2. Owed to nonrelated banks in foreign countries:							
a. Owed to foreign branches of nonrelated U.S. banks .....	3314		3314				2.a.
b. Owed to foreign offices of nonrelated foreign banks .....	3315		3315				2.b.
3. Owed to others .....	2869		2869				3.
	RCXX		RCXY				
4. Total (sum of items 1 through 3) (must equal Schedule RAL, item 4.c) .....	3190		3190				4.
<b>Memorandum</b>							
1. Immediately available funds with a maturity greater than one day included in other borrowed money .....	RCFD						M.1.
	2805						

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	Dollar Amounts in Thousands	RCON	Amount	
8. Sweep deposits:				
(a) Fully insured, affiliate sweep deposits.....		XXXX		M.8.(a)
(b) Not fully insured, affiliate sweep deposits.....		XXXX		M.8.(b)
(c) Fully insured, non-affiliate sweep deposits.....		XXXX		M.8.(c)
(d) Not fully insured, non-affiliate sweep deposits.....		XXXX		M.8.(d)
9. "Designated exception" sweep deposits.....		XXXX		M.9

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## Schedule O

### Memoranda

#### **Item No.    Caption and Instructions**

- Item M8**    **Sweep deposits.** Report in the appropriate subitem the indicated sweep deposit data (as defined in the Glossary entry for “Sweep Deposits”).
- Item M8.a**    **Fully insured, affiliate sweep deposits.** Report the amount of affiliate sweep deposits that are fully insured.
- Item M8.b**    **Not fully insured, affiliate sweep deposits.** Report the amount of affiliate sweep deposits for which less than the entire amount of the deposit is covered by deposit insurance.
- Item M8.c**    **Fully insured, non-affiliate sweep deposits.** Report the amount of non-affiliated sweep deposits that are fully insured.
- Item M8.d**    **Not fully insured, non-affiliate sweep deposits.** Report the amount of non-affiliate sweep deposits for which less than the entire amount of the deposit is covered by deposit insurance.
- Item M9**    **“Designated exception” sweep deposits.** Report the amount of sweep deposits that are not brokered, because these deposits are placed by or through third parties as part of a business relationship in which, less than 25 percent of the total assets the third party has under administration for its customers is placed at depository institutions.

## Glossary

**Sweep Deposits:** “Sweep deposit” means a deposit held at the reporting institution by a customer or counterparty through a contractual feature that automatically transfers to the reporting institution from another regulated financial company at the close of each business day amounts under the agreement governing the account from which the amount is being transferred. (Note: This definition of a “sweep deposit” is distinctly separate from the existing “retail sweep arrangements” and “retail sweep programs” definitions in the “Reporting of Retail Sweep Arrangements Affecting Transaction and Nontransaction Accounts” section of the Glossary entry for “Deposits.”)

“Affiliate sweep deposit” means a sweep deposit that is deposited in accordance with a contract between a customer or counterparty and the reporting institution, a controlled subsidiary of the reporting institution, or a company that is a controlled subsidiary of the same top-tier company of which the reporting institution is a controlled subsidiary.

“Non-affiliate sweep deposit” means a sweep deposit that is deposited in accordance with a contract between a customer or counterparty and an entity that is not affiliated with the reporting institution.

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