

**BHCPR Reporters for Quarter Ending 09/30/2021**

Peer Group 9 by BHC Name

<u>ID_RSSD</u>	<u>Consolidated Assets (\$000)</u>	<u>BHC Name</u>	<u>Home Office Location</u>	<u>Change from 06/30/2021 and Other Notes</u>
1275216	184,261,000	AMERICAN EXPRESS COMPANY	NEW YORK, NY	Atypical BHC
2433312	170,734,024	AMERIPRISE FINANCIAL, INC.	MINNEAPOLIS, MN	Atypical BHC
5006575	173,628,000	BARCLAYS US LLC	NEW YORK, NY	Atypical BHC
3818804	7,758,174	BEAL FINANCIAL CORPORATION	PLANO, TX	Atypical BHC
1575569	159,177,726	BNP PARIBAS USA, INC.	NEW YORK, NY	Atypical BHC
1098648	2,292,986	CASS INFORMATION SYSTEMS, INC.	DES PERES, MO	Atypical BHC
1026632	607,548,000	CHARLES SCHWAB CORPORATION, THE	WESTLAKE, TX	Atypical BHC
1574834	105,861,250	CREDIT SUISSE HOLDINGS (USA), INC.	NEW YORK, NY	Atypical BHC
2816906	124,719,000	DB USA CORPORATION	NEW YORK, NY	Atypical BHC
5149016	2,321,260	DWS USA CORPORATION	NEW YORK, NY	Atypical BHC
3808131	4,155,610	EDUCATION LOAN FINANCE, INC.	KNOXVILLE, TN	Atypical BHC
1250101	16,686,064	FIRST AMERICAN FINANCIAL CORPORATION	SANTA ANA, CA	Atypical BHC
3821916	1,487,669	FIRST COMMAND FINANCIAL SERVICES, INC.	FORT WORTH, TX	Atypical BHC
1096505	8,309,962	FIRST SECURITY BANCORP	SEARCY, AR	Atypical BHC
3189906	2,076,926	FIRST WESTERN FINANCIAL, INC.	DENVER, CO	Atypical BHC
4057262	4,391,349	GREEN DOT CORPORATION	PASADENA, CA	Atypical BHC
3842957	15,760,163	HAWAIIAN ELECTRIC INDUSTRIES, INC.	HONOLULU, HI	Atypical BHC
4738879	4,750,760	LENDINGCLUB CORPORATION	SAN FRANCISCO, CA	Atypical BHC
1037003	151,901,194	M&T BANK CORPORATION	BUFFALO, NY	Atypical BHC
5034792	48,821,000	MIZUHO AMERICAS LLC	NEW YORK, NY	Atypical BHC
3444847	681,638	PEDCOR CAPITAL, LLC	CARMEL, IN	Atypical BHC
3848931	2,045,632	PEDCOR FINANCIAL, LLC	CARMEL, IN	Atypical BHC
3815157	61,891,191	RAYMOND JAMES FINANCIAL, INC.	SAINT PETERSBURG, FL	Atypical BHC
5280254	164,028,067	RBC US GROUP HOLDINGS LLC	TORONTO, 0	Atypical BHC
2170804	25,278,361	SMBC AMERICAS HOLDINGS, INC.	NEW YORK, NY	Atypical BHC
3063622	30,820,334	STIFEL FINANCIAL CORP.	SAINT LOUIS, MO	Atypical BHC
4846998	188,404,238	UBS AMERICAS HOLDING LLC	NEW YORK, NY	Atypical BHC
1842421	4,692,095	UNITED NATIONAL CORPORATION	SIOUX FALLS, SD	Atypical BHC
1447376	211,711,000	UNITED SERVICES AUTOMOBILE ASSOCIATION	SAN ANTONIO, TX	Atypical BHC

Note: Peer Group 9 has 30 holding companies.