

BHCPR Reporters for Quarter Ending 03/31/2022

Peer Group 9 by BHC Name

<u>ID_RSSD</u>	<u>Consolidated Assets (\$000)</u>	<u>BHC Name</u>	<u>Home Office Location</u>	<u>Change from 12/31/2021 and Other Notes</u>
1275216	195,862,000	AMERICAN EXPRESS COMPANY	NEW YORK, NY	Atypical BHC
2433312	167,529,727	AMERIPRISE FINANCIAL, INC.	MINNEAPOLIS, MN	Atypical BHC
5006575	173,847,000	BARCLAYS US LLC	NEW YORK, NY	Atypical BHC
3818804	12,082,911	BEAL FINANCIAL CORPORATION	PLANO, TX	Atypical BHC
1575569	157,612,709	BNP PARIBAS USA, INC.	NEW YORK, NY	Atypical BHC
1098648	2,422,043	CASS INFORMATION SYSTEMS, INC.	DES PERES, MO	Atypical BHC
1026632	680,950,000	CHARLES SCHWAB CORPORATION, THE	WESTLAKE, TX	Atypical BHC
1574834	95,932,629	CREDIT SUISSE HOLDINGS (USA), INC.	NEW YORK, NY	Atypical BHC
2816906	120,429,000	DB USA CORPORATION	NEW YORK, NY	Atypical BHC
5149016	2,374,839	DWS USA CORPORATION	NEW YORK, NY	Atypical BHC
3808131	4,424,464	EDUCATION LOAN FINANCE, INC.	KNOXVILLE, TN	Atypical BHC
1250101	16,527,023	FIRST AMERICAN FINANCIAL CORPORATION	SANTA ANA, CA	Atypical BHC
3821916	1,459,391	FIRST COMMAND FINANCIAL SERVICES, INC.	FORT WORTH, TX	Atypical BHC
1096505	8,499,730	FIRST SECURITY BANCORP	SEARCY, AR	Atypical BHC
3189906	2,577,251	FIRST WESTERN FINANCIAL, INC.	DENVER, CO	Atypical BHC
4057262	5,028,557	GREEN DOT CORPORATION	AUSTIN, TX	Atypical BHC
3842957	15,908,429	HAWAIIAN ELECTRIC INDUSTRIES, INC.	HONOLULU, HI	Atypical BHC
4738879	5,574,425	LENDINGCLUB CORPORATION	SAN FRANCISCO, CA	Atypical BHC
5034792	51,797,000	MIZUHO AMERICAS LLC	NEW YORK, NY	Atypical BHC
3848931	3,646,550	PEDCOR FINANCIAL, LLC	CARMEL, IN	Atypical BHC
3815157	73,100,448	RAYMOND JAMES FINANCIAL, INC.	SAINT PETERSBURG, FL	Atypical BHC
5280254	174,446,769	RBC US GROUP HOLDINGS LLC	TORONTO, 0	Atypical BHC
2170804	33,237,731	SMBC AMERICAS HOLDINGS, INC.	NEW YORK, NY	Atypical BHC
5586871	12,246,576	SOFI TECHNOLOGIES, INC.	SAN FRANCISCO, CA	New Y-9C/BHCPR reporter
3063622	35,087,698	STIFEL FINANCIAL CORP.	SAINT LOUIS, MO	Atypical BHC
4846998	211,141,730	UBS AMERICAS HOLDING LLC	NEW YORK, NY	Atypical BHC
1842421	4,759,172	UNITED NATIONAL CORPORATION	SIOUX FALLS, SD	Atypical BHC
1447376	209,942,000	UNITED SERVICES AUTOMOBILE ASSOCIATION	SAN ANTONIO, TX	Atypical BHC
2012315	4,013,656	VILLAGES BANCORPORATION, INC.	THE VILLAGES, FL	New Y-9C/BHCPR reporter

Note: Peer Group 9 has 30 holding companies.