

BHCPR Reporters for Quarter Ending 03/31/2021

Peer Group 9 by BHC Name

<u>ID_RSSD</u>	<u>Consolidated Assets (\$000)</u>	<u>BHC Name</u>	<u>Home Office Location</u>	<u>Change from 12/31/2020 and Other Notes</u>
1275216	193,067,000	AMERICAN EXPRESS COMPANY	NEW YORK, NY	Atypical BHC
2433312	165,742,866	AMERIPRISE FINANCIAL, INC.	MINNEAPOLIS, MN	Atypical BHC
5006575	169,323,000	BARCLAYS US LLC	NEW YORK, NY	Moved from Peer 1; Atypical BHC
3818804	8,139,349	BEAL FINANCIAL CORPORATION	PLANO, TX	Atypical BHC
1575569	147,376,599	BNP PARIBAS USA, INC.	NEW YORK, NY	Atypical BHC
1098648	2,216,573	CASS INFORMATION SYSTEMS, INC.	DES PERES, MO	Atypical BHC
1026632	563,457,000	CHARLES SCHWAB CORPORATION, THE	WESTLAKE, TX	Atypical BHC
1574834	119,785,872	CREDIT SUISSE HOLDINGS (USA), INC.	NEW YORK, NY	Moved from Peer 1; Atypical BHC
2816906	110,097,000	DB USA CORPORATION	NEW YORK, NY	Moved from Peer 1; Atypical BHC
5149016	2,246,948	DWS USA CORPORATION	NEW YORK, NY	Moved from Peer 3; Atypical BHC
3808131	3,586,557	EDUCATION LOAN FINANCE, INC.	KNOXVILLE, TN	Atypical BHC
1250101	14,431,903	FIRST AMERICAN FINANCIAL CORPORATION	SANTA ANA, CA	Atypical BHC
3821916	1,367,141	FIRST COMMAND FINANCIAL SERVICES, INC.	FORT WORTH, TX	Atypical BHC
1096505	7,725,983	FIRST SECURITY BANCORP	SEARCY, AR	Atypical BHC
3189906	2,212,291	FIRST WESTERN FINANCIAL, INC.	DENVER, CO	Atypical BHC
4057262	5,035,398	GREEN DOT CORPORATION	PASADENA, CA	Atypical BHC
3842957	15,358,673	HAWAIIAN ELECTRIC INDUSTRIES, INC.	HONOLULU, HI	Atypical BHC
4738879	4,491,100	LENDINGCLUB CORPORATION	SAN FRANCISCO, CA	New Y-9C/BHCPR reporter
1037003	150,481,060	M&T BANK CORPORATION	BUFFALO, NY	Moved from Peer 1; Atypical BHC
5034792	46,986,000	MIZUHO AMERICAS LLC	NEW YORK, NY	Atypical BHC
3444847	653,262	PEDCOR CAPITAL, LLC	CARMEL, IN	Atypical BHC
3848931	1,698,074	PEDCOR FINANCIAL, LLC	CARMEL, IN	Atypical BHC
3815157	56,065,901	RAYMOND JAMES FINANCIAL, INC.	SAINT PETERSBURG, FL	Atypical BHC
5280254	151,118,796	RBC US GROUP HOLDINGS LLC	TORONTO, 0	Atypical BHC
2170804	23,506,663	SMBC AMERICAS HOLDINGS, INC.	NEW YORK, NY	Atypical BHC
3063622	28,141,581	STIFEL FINANCIAL CORP.	SAINT LOUIS, MO	Atypical BHC
4846998	182,784,211	UBS AMERICAS HOLDING LLC	NEW YORK, NY	Atypical BHC
1842421	4,224,817	UNITED NATIONAL CORPORATION	SIOUX FALLS, SD	Atypical BHC
1447376	211,586,858	UNITED SERVICES AUTOMOBILE ASSOCIATION	SAN ANTONIO, TX	Atypical BHC

Note: Peer Group 9 has 30 holding companies.