

BHCPR Reporters for Quarter Ending 06/30/2021

Peer Group 9 by BHC Name

<u>ID_RSSD</u>	<u>Consolidated Assets (\$000)</u>	<u>BHC Name</u>	<u>Home Office Location</u>	<u>Change from 03/31/2021 and Other Notes</u>
1275216	186,973,000	AMERICAN EXPRESS COMPANY	NEW YORK, NY	Atypical BHC
2433312	171,708,711	AMERIPRISE FINANCIAL, INC.	MINNEAPOLIS, MN	Atypical BHC
5006575	174,104,000	BARCLAYS US LLC	NEW YORK, NY	Atypical BHC
3818804	7,221,166	BEAL FINANCIAL CORPORATION	PLANO, TX	Atypical BHC
1575569	150,244,629	BNP PARIBAS USA, INC.	NEW YORK, NY	Atypical BHC
1098648	2,272,332	CASS INFORMATION SYSTEMS, INC.	DES PERES, MO	Atypical BHC
1026632	574,532,000	CHARLES SCHWAB CORPORATION, THE	WESTLAKE, TX	Atypical BHC
1574834	103,653,243	CREDIT SUISSE HOLDINGS (USA), INC.	NEW YORK, NY	Atypical BHC
2816906	119,365,000	DB USA CORPORATION	NEW YORK, NY	Atypical BHC
5149016	2,276,399	DWS USA CORPORATION	NEW YORK, NY	Atypical BHC
3808131	3,569,859	EDUCATION LOAN FINANCE, INC.	KNOXVILLE, TN	Atypical BHC
1250101	15,426,885	FIRST AMERICAN FINANCIAL CORPORATION	SANTA ANA, CA	Atypical BHC
3821916	1,390,690	FIRST COMMAND FINANCIAL SERVICES, INC.	FORT WORTH, TX	Atypical BHC
1096505	8,061,800	FIRST SECURITY BANCORP	SEARCY, AR	Atypical BHC
3189906	2,010,726	FIRST WESTERN FINANCIAL, INC.	DENVER, CO	Atypical BHC
4057262	4,288,564	GREEN DOT CORPORATION	PASADENA, CA	Atypical BHC
3842957	15,606,729	HAWAIIAN ELECTRIC INDUSTRIES, INC.	HONOLULU, HI	Atypical BHC
4738879	4,370,101	LENDINGCLUB CORPORATION	SAN FRANCISCO, CA	Atypical BHC
1037003	150,622,707	M&T BANK CORPORATION	BUFFALO, NY	Atypical BHC
5034792	47,371,000	MIZUHO AMERICAS LLC	NEW YORK, NY	Atypical BHC
3444847	664,232	PEDCOR CAPITAL, LLC	CARMEL, IN	Atypical BHC
3848931	1,939,341	PEDCOR FINANCIAL, LLC	CARMEL, IN	Atypical BHC
3815157	57,160,902	RAYMOND JAMES FINANCIAL, INC.	SAINT PETERSBURG, FL	Atypical BHC
5280254	158,067,289	RBC US GROUP HOLDINGS LLC	TORONTO, 0	Atypical BHC
2170804	23,646,819	SMBC AMERICAS HOLDINGS, INC.	NEW YORK, NY	Atypical BHC
3063622	29,744,764	STIFEL FINANCIAL CORP.	SAINT LOUIS, MO	Atypical BHC
4846998	183,777,362	UBS AMERICAS HOLDING LLC	NEW YORK, NY	Atypical BHC
1842421	4,171,746	UNITED NATIONAL CORPORATION	SIOUX FALLS, SD	Atypical BHC
1447376	209,223,041	UNITED SERVICES AUTOMOBILE ASSOCIATION	SAN ANTONIO, TX	Atypical BHC

Note: Peer Group 9 has 30 holding companies.