

**BHCPR Reporters for Quarter Ending 06/30/2020**

Peer Group 9 by BHC Name

| <u>ID_RSSD</u> | <u>Consolidated Assets (\$000)</u> | <u>BHC Name</u>                         | <u>Home Office Location</u> | <u>Change from 03/31/2020 and Other Notes</u> |
|----------------|------------------------------------|-----------------------------------------|-----------------------------|-----------------------------------------------|
| 1275216        | 188,602,000                        | AMERICAN EXPRESS COMPANY                | NEW YORK, NY                | Atypical BHC                                  |
| 2433312        | 154,916,247                        | AMERIPRISE FINANCIAL, INC.              | MINNEAPOLIS, MN             | Atypical BHC                                  |
| 3818804        | 10,059,165                         | BEAL FINANCIAL CORPORATION              | PLANO, TX                   | Atypical BHC                                  |
| 1575569        | 141,503,686                        | BNP PARIBAS USA, INC.                   | NEW YORK, NY                | Atypical BHC                                  |
| 1248078        | 9,159,230                          | BOSTON PRIVATE FINANCIAL HOLDINGS, INC. | BOSTON, MA                  | Atypical BHC                                  |
| 1098648        | 1,828,984                          | CASS INFORMATION SYSTEMS, INC.          | DES PERES, MO               | Atypical BHC                                  |
| 1026632        | 400,484,000                        | CHARLES SCHWAB CORPORATION, THE         | SAN FRANCISCO, CA           | Atypical BHC                                  |
| 3808131        | 3,529,507                          | EDUCATION LOAN FINANCE, INC.            | KNOXVILLE, TN               | Atypical BHC                                  |
| 1250101        | 12,288,019                         | FIRST AMERICAN FINANCIAL CORPORATION    | SANTA ANA, CA               | Atypical BHC                                  |
| 3821916        | 1,222,803                          | FIRST COMMAND FINANCIAL SERVICES, INC.  | FORT WORTH, TX              | Atypical BHC                                  |
| 1096505        | 6,925,924                          | FIRST SECURITY BANCORP                  | SEARCY, AR                  | Atypical BHC                                  |
| 3189906        | 1,814,866                          | FIRST WESTERN FINANCIAL, INC.           | DENVER, CO                  | Atypical BHC                                  |
| 4057262        | 3,352,721                          | GREEN DOT CORPORATION                   | PASADENA, CA                | Atypical BHC                                  |
| 3842957        | 14,480,700                         | HAWAIIAN ELECTRIC INDUSTRIES, INC.      | HONOLULU, HI                | Atypical BHC                                  |
| 5034792        | 47,522,000                         | MIZUHO AMERICAS LLC                     | NEW YORK, NY                | Atypical BHC                                  |
| 3444847        | 595,178                            | PEDCOR CAPITAL, LLC                     | CARMEL, IN                  | Atypical BHC                                  |
| 3848931        | 1,297,860                          | PEDCOR FINANCIAL, LLC                   | CARMEL, IN                  | Atypical BHC                                  |
| 3815157        | 44,681,589                         | RAYMOND JAMES FINANCIAL, INC.           | SAINT PETERSBURG, FL        | Atypical BHC                                  |
| 5280254        | 141,563,437                        | RBC US GROUP HOLDINGS LLC               | TORONTO, 0                  | Atypical BHC                                  |
| 2170804        | 24,756,996                         | SMBC AMERICAS HOLDINGS, INC.            | NEW YORK, NY                | Atypical BHC                                  |
| 3063622        | 25,624,225                         | STIFEL FINANCIAL CORP.                  | SAINT LOUIS, MO             | Atypical BHC                                  |
| 4846998        | 161,814,710                        | UBS AMERICAS HOLDING LLC                | NEW YORK, NY                | Atypical BHC                                  |
| 1842421        | 3,762,234                          | UNITED NATIONAL CORPORATION             | SIOUX FALLS, SD             | Atypical BHC                                  |
| 1447376        | 189,946,127                        | UNITED SERVICES AUTOMOBILE ASSOCIATION  | SAN ANTONIO, TX             | Atypical BHC                                  |

Note: Peer Group 9 has 25 holding companies.