

BHCPR Reporters for Quarter Ending 12/31/2021

Peer Group 9 by BHC Name

<u>ID_RSSD</u>	<u>Consolidated Assets (\$000)</u>	<u>BHC Name</u>	<u>Home Office Location</u>	<u>Change from 09/30/2021 and Other Notes</u>
1275216	188,548,000	AMERICAN EXPRESS COMPANY	NEW YORK, NY	Atypical BHC
2433312	175,983,452	AMERIPRISE FINANCIAL, INC.	MINNEAPOLIS, MN	Atypical BHC
5006575	160,476,000	BARCLAYS US LLC	NEW YORK, NY	Atypical BHC
3818804	7,396,422	BEAL FINANCIAL CORPORATION	PLANO, TX	Atypical BHC
1575569	154,892,961	BNP PARIBAS USA, INC.	NEW YORK, NY	Atypical BHC
1098648	2,554,901	CASS INFORMATION SYSTEMS, INC.	DES PERES, MO	Atypical BHC
1026632	667,270,000	CHARLES SCHWAB CORPORATION, THE	WESTLAKE, TX	Atypical BHC
1574834	98,725,689	CREDIT SUISSE HOLDINGS (USA), INC.	NEW YORK, NY	Atypical BHC
2816906	121,669,000	DB USA CORPORATION	NEW YORK, NY	Atypical BHC
5149016	2,363,861	DWS USA CORPORATION	NEW YORK, NY	Atypical BHC
3808131	4,209,874	EDUCATION LOAN FINANCE, INC.	KNOXVILLE, TN	Atypical BHC
1250101	16,451,260	FIRST AMERICAN FINANCIAL CORPORATION	SANTA ANA, CA	Atypical BHC
3821916	1,448,349	FIRST COMMAND FINANCIAL SERVICES, INC.	FORT WORTH, TX	Atypical BHC
1096505	8,388,965	FIRST SECURITY BANCORP	SEARCY, AR	Atypical BHC
3189906	2,097,366	FIRST WESTERN FINANCIAL, INC.	DENVER, CO	Atypical BHC
4057262	4,725,477	GREEN DOT CORPORATION	AUSTIN, TX	Atypical BHC
3842957	15,822,637	HAWAIIAN ELECTRIC INDUSTRIES, INC.	HONOLULU, HI	Atypical BHC
4738879	4,900,319	LENDINGCLUB CORPORATION	SAN FRANCISCO, CA	Atypical BHC
1037003	155,107,160	M&T BANK CORPORATION	BUFFALO, NY	Atypical BHC
5034792	47,639,000	MIZUHO AMERICAS LLC	NEW YORK, NY	Atypical BHC
3848931	3,159,355	PEDCOR FINANCIAL, LLC	CARMEL, IN	Atypical BHC
3815157	68,460,839	RAYMOND JAMES FINANCIAL, INC.	SAINT PETERSBURG, FL	Atypical BHC
5280254	174,998,921	RBC US GROUP HOLDINGS LLC	TORONTO, 0	Atypical BHC
2170804	26,740,071	SMBC AMERICAS HOLDINGS, INC.	NEW YORK, NY	Atypical BHC
3063622	34,049,715	STIFEL FINANCIAL CORP.	SAINT LOUIS, MO	Atypical BHC
4846998	209,717,640	UBS AMERICAS HOLDING LLC	NEW YORK, NY	Atypical BHC
1842421	4,191,204	UNITED NATIONAL CORPORATION	SIOUX FALLS, SD	Atypical BHC
1447376	210,597,000	UNITED SERVICES AUTOMOBILE ASSOCIATION	SAN ANTONIO, TX	Atypical BHC

Note: Peer Group 9 has 29 holding companies.