

FFIEC FOIA LOG  
CY 2016

| FFIEC Tracking # | Requestor   | Date R'cd | Nature of Request  |
|------------------|---|-----------|--|
| 016-001          | Alaina M. Zanke-Jodway,<br>Esq., POB 82356, Rochester,<br>MI 48308-2356,<br>alainazj@aol.com  | 1/5/2016  | Granted, no records response, though provided publically available data through URLs on FFIEC.GOV for the types of data that do exist for requestor's reference, seeking expedited processing of request for loan data records in the Home Mortgage Disclosure Act (HMDA) Loan Application Register (LAR), identified by listing four specific data requests, for property located at 324 Bay Street, Boyne City, Michigan 49712.  |
| 016-002          | Stuart Salamon (self)   | 1/27/2016 | Granted, no records response, seeking "any information about my bank account at American Eagle Credit Union"; referred to the National Credit Union Administration.  |
| 016-003          | John I. Moore, Paralegal, The<br>Weston Centre, 112 E. Pecan<br>Street, Suite 900, San<br>Antonio, Texas 78205,<br>jmoore@dslawpc.com                         | 2/2/2016  | Granted in part, requested documents that are already publically available through the FFIEC website at this URL: <a href="https://cdr.ffiec.gov/public/">https://cdr.ffiec.gov/public/</a> , request expedited handling of FOIA seeking certified copies of financial data for Falcon International Bank for two reporting periods in 2014 as reported in the FFIEC 031 report, FFIEC does not provide certified copies of records. Contact the primary federal regulator for the institution(s)'s records sought to inquire if they will provide such certification, request for expedited processing is denied because requestor did not demonstrated a compelling need for expedited processing. |
| 016-004          | Nicole West, Research<br>Fellow, National Community<br>Reinvestment Coalition,<br>727 15th Street, NW, Suite 90<br>0, Washington, DC 20005,<br>nwest@ncrc.org | 2/2/2016  | Granted, an electronic list of all lending institutions and their respective Respondent ID codes, already publically available on HMDA web pages of FFIEC.GOV.   |
| 016-005          | Ms. Kristen Carney, 6800<br>West Gate Blvd Ste. 132-366,<br>Austin, TX 78745-4868,<br>kcarney@cubitplanning.com   | 2/5/2016  | Granted, 2014 Approved Loans By Type for All Census tracts In the US, data on FFIEC.GOV.   |
| 016-006          | Mr. John Holman (self)  | 2/16/2016 | Granted, sought disclosure of purchase card holders for the agency.  |
| 016-007          | James H. Barnes (self)  | 2/17/2016 | Denied, improper FOIA, asking questions about publically available data (seeking interpretations) regarding HMDA LAR submissions from Chase Manhattan Bank USA, NA.  |
| 016-008          | Frankie Thomas (self)   | 4/1/2016  | Not an agency record, seeking proof that a bank is a federal bank re Chambersburg Trust in 1996 and seeking a list of all banks in Chambersburg, PA in 1996. Provided printouts of bank listings from the Federal Deposit Insurance Corporation (FDIC) from their public website as a reference and provided the FDIC Consumer Assistance form should they wish to file an inquiry with that agency.   |
| 016-009          | Ryan Bruce, Risk Analyst,<br>Credit Risk Management,<br>Raymond James,<br>ryan.bruce@raymondjames.c<br>om   | 4/7/2016  | Not an agency record, requested FFIEC 002 copies for six banks, FFIEC is not a federal regulator, no authority over 002 data submissions, referred to the Board of Governors of the Federal Reserve System as they are the "collecting and processing" agent for the 002 data.   |

FFIEC FOIA LOG  
CY 2016

| FFIEC Tracking # | Requestor  | Date R'cd              | Nature of Request   |
|------------------|--|------------------------|---|
| 016-010          | Donald Merrill, 18134 S. Upper Highland Road, Beavercreek, OR 97004, dma@xmission.com  | 4/10/2016              | Granted, no records response; FFIEC located no instance of a transfer of money from the Council to the Corporation for Public Broadcasting and/or any public radio station.   |
| 016-011          | Carmela D'Avino, Schol of Business and Law, University of East London, Office UH.1.17, Startford Campus, Water Lane, London, E15 4LZ, c.danio@uel.ac.uk  | 4/14/2016              | Granted; Excel file attached with aggregate data form the FFIEC 030 report.   |
| 016-012          | Victore Pistone, Great Florida Insurance of Valrico, 2234 Lithia Center Lane, Valrico, FL 33596<br>victor@greatflorida.com<br>Mark Hullman Esq., 3230 Racquet Club Drive, Traverse City, MI 49684, hullman@outlook.com | 4/28/2016<br>4/29/2016 | Not an agency record response, re lists of licensed mortgage sales representatives for federally licensed banks; referred to the Nationwide Mortgage Licensing System (NMLS) which is a data base of mortgage loan originators.<br>Not an agency record response, the FFIEC does not collect web usage statistics for individual Call Reports or for IP addresses.  |
| 016-014          | Don Merrill, PO Box 382, Beavercreek, OR 97004, dma@xmission.com   | 5/16/2016              | Granted, no records response; FFIEC located no instance of awarding grants from the Council to the Corporation for Public Broadcasting and/or any public radio station, restated response from FOIA 016-010 (as this is a follow-up request from same requestor), provided guidance on the FFIEC's mission, statutory authorities to demonstrate that public radio grants are not part of the FFIEC's mission or authorities. |
| 016-015          | Bill Paatalo, BP Investigative Agency, LLC, PO Box 838, Absarokee, MT 59001, bill.bpia@gmail.com   | 5/17/2016              | Granted, subject matter expert identified 17 HMDA LAR records that fit criteria provided in request.  |
| 016-016          | Bill Paatalo, BP Investigative Agency, LLC, PO Box 838, Absarokee, MT 59001, bill.bpia@gmail.com   | 6/7/2016               | Granted, HMDA data sought is already publicly available information on FFIEC Website.   |
| 016-017          | Quinn McCord (self)  | 6/7/2016               | Granted, no records response, re request for communication from the Mechant Advisory Group "complain[ing] that some debit card issuers are violating rules set through Durbin amendment."   |
| 016-018          | Guadalupe Gallambos (self)   | 6/10/2016              | Not an agency record, re "historical data on money brought in from the United States to Argentina and vice[versa] through the CMIRs form." Recommended that requestor visit the website for the U.S. Department of the Treasury Financial Crimes Enforcement Network (FinCEN) and provided relevant URLs for their website.   |
| 016-019          | Ronald Creatore (self)   | 6/25/2016              | Not an agency record, re audit/review of Sageworks.   |

FFIEC FOIA LOG  
CY 2016

| FFIEC Tracking # | Requestor   | Date R'cd | Nature of Request   |
|------------------|---|-----------|---|
| 016-020          | Mr. Mark Cygan<br>Research Associate<br>Democratic Senatorial<br>Campaign Committee<br>120 Maryland Ave NE<br>Washington, DC 20002<br>cvgan@dscc.org  | 7/5/2016  | Granted, no records response, sought "any direct correspondence between your agency and Dina Ellis Rochkind (Senior Financial Services Counsel and Republican Staff Director of Senate Banking, Housing and Urban Affairs Committee, Financial Institutions and Consumer Protection Subcommittee) between April 2011 - June 2013."  |
| 016-021          | Mr. Mark Cygan<br>Research Associate<br>Democratic Senatorial<br>Campaign Committee<br>120 Maryland Ave NE<br>Washington, DC 20002<br>cvgan@dscc.org  | 7/5/2016  | Granted, no records response, sought "[a]ny direct correspondence between your agency and Netonis (Tonnie) Wybensingler (Staff Director-Senate Banking Subcommittee on Financial Institutions & Consumer Protection, Office of U.S. Senator Pat Toomey) between April 2013 - April 2014."   |
| 016-022          | Mr. Mark Cygan<br>Research Associate<br>Democratic Senatorial<br>Campaign Committee<br>120 Maryland Ave NE<br>Washington, DC 20002<br>cvgan@dscc.org  | 7/7/2016  | Granted, no records response to request for FFIEC FOIAs regarding Senator Pat Toomey from January 2011 to Present.  |
| 016-023          | Mr. Nathaniel C. Giddings<br>Hausfeld LLP<br>1700 K ST NW, Suite 650<br>Washington, DC 20006<br>ngiddings@hausfeld.com  | 7/7/2016  | Not agency records, (seeking FFIEC 002 data for 21 banks). Expedited request denied, failure to demonstrate a compelling need for expedited processing. Requestor filed FOIA concurrently with the Board of Governors of the Federal Reserve System (Board) which is the agency the FFIEC would have referred this FOIA request to as the Board serves as the "collecting and processing agent" of the report data sought.  |
| 016-024          | Dr. Rebel A. Cole, Professor<br>of Finance, Driehaus College<br>of Business, DePaul<br>University, 1 E Jackson Blvd.,<br>Suite 5531, Chicago, IL 60604<br>RCOLE@depaul.edu<br>Dr. Stewart Robert Miller<br>Professor of Management<br>University of Texas - San<br>Antonio<br>One UTSA Circle<br>San Antonio, TX 78249<br>stewart.miller@utsa.edu | 7/13/2016 | Granted, data sought, "obtain CRA small-business lending data at the Census-Tract level...for the entire U.S. ...for each year 2004 - 2014," is already publically available via a query of the data on the FFIEC Website at this URL:<br><a href="http://www.ffiec.gov/cra/craproducts.htm">http://www.ffiec.gov/cra/craproducts.htm</a> .   |
| 016-025          | Antonio<br>One UTSA Circle<br>San Antonio, TX 78249<br>stewart.miller@utsa.edu  | 7/14/2016 | Granted, no records response; sought "details of banks' CRA rating (i.e., lending test, investment test, and service test)" for the time period of 1999-2015, and data from exams of the "Large Bank Method" from two federal financial regulatory agencies, "FRB and FDIC". The FFIEC has no statutory or regulatory authority under the CRA. The FFIEC hosts, on its website, a repository of the publically released information which the Board of Governors of the Federal Reserve System (Board or FRB) provides on behalf of the three banking agencies who have CRA statutory authority. Assistance on CRA is provided by the CRA help center at CRAHELP@FRB.GOV. |

FFIEC FOIA LOG  
CY 2016

| FFIEC Tracking # | Requestor   | Date R'cd  | Nature of Request  |
|------------------|---|------------|--|
| 016-026          | Loretta M. Plitnick<br>Smith, Anderson, Blount,<br>Dorsett,<br>Mitchell & Jernigan, L.L.P.<br>Wells Fargo Capitol Center<br>150 Fayetteville Street, Suite<br>2300<br>Raleigh, NC 27601<br>lplitnick@smithlaw.com | 8/12/2016  | Granted request for disclosure of "all materials relating to Ronald M. Creatore's 2016 FOIA request" to the FFIEC; responsive records sent and remainder of FOIA request referred to the Federal Deposit Insurance Corporation for records which originated with that agency.  |
| 016-027          | Derrick Wetherell (self)  | 9/30/2016  | Granted, no records response, sought "a copy of listings of directors and officers of Deutsche Bank Trust Corporation since 2010." FFIEC has located no documents or communications regarding Deutsche Bank National Trust Corporation in its possession. The records sought are not records that the FFIEC would have in its possession, as the FFIEC is not a federal financial regulator and has no authority or jurisdiction over Deutsche Bank Trust Corporation.   |
| 016-028          | Michael Ravnitzky (self)  | 10/30/2016 | Granted, sought a copy of the Freedom of Information Act APPEALS Log for the Federal Financial Institutions Examination Council (FFIEC) for the time period since 2009. Already publicly available on FFIEC.GOV.   |
| 016-029          | Mr. Julio C. Fernandez<br>Global Quantitative Analyst<br>AMG National Trust Bank<br>6295 Greenwood Plaza Blvd<br>Greenwood Village, CO<br>80111-4908<br>JCFernandez@amgnational.com                               | 11/2/2016  | Granted, sought records in the Home Mortgage Disclosure Act (HMDA) and private mortgage insurance companies (PMIC). HMDA data from 2007 to present are already publicly available for free download from the FFIEC Website. The HMDA data from 1990 to 2012 are no longer FFIEC records as the ownership has been transferred to the National Archives and Records Administration (NARA) following federal government archiving practices. Those records are available for download at no charge from NARA at this URL:<br><a href="https://catalog.archives.gov/search?q=hmda&amp;rows=20&amp;offset=0&amp;tabType=all&amp;facet=true&amp;facet.fields=oldScope,level,materialsType,fileFormat,locationIds,dateRangeFacet&amp;highlight=true">https://catalog.archives.gov/search?q=hmda&amp;rows=20&amp;offset=0&amp;tabType=all&amp;facet=true&amp;facet.fields=oldScope,level,materialsType,fileFormat,locationIds,dateRangeFacet&amp;highlight=true</a> |
| 016-030          | Russ Kick, Tucson (self)  | 11/13/2016 | PMIC data was voluntarily reported through the FFIEC website for several years. PMIC data ceased being reported on the FFIEC Website in 2013. All PMIC data received at the FFIEC is publically available on the FFIEC Website. If the data is not on the FFIEC Website, then it is not an agency record and the FFIEC has no statutory authority over PMIC data. The FFIEC web page where data searches can be conducted according to a requestor's needs is at this URL:<br><a href="https://www.ffiec.gov/hmda/hmdaproducts.htm">https://www.ffiec.gov/hmda/hmdaproducts.htm</a>  |
| 016-031          | Mr. Patrick Rucker<br>ThomsonReuters<br>patrick.rucker@thomsonreuters.com   | 11/22/2016 | Granted, no responsive records; sought "Presidential transition materials prepared by the FFIEC."<br>Granted, no responsive records; sought records that are not FFIEC records. The FFIEC is not a federal financial regulator, nor does it have any affiliation or authority over the Customer Assistance Group which you seek records from. The FFIEC provides direct URLs to the Consumer Complaint web sections of the five federal regulatory members of the Council on this web page: <a href="https://www.ffiec.gov/consumercenter/default.aspx">https://www.ffiec.gov/consumercenter/default.aspx</a>  |
| 016-032          | Deon Roberts<br>Banking reporter<br>The Charlotte Observer<br>deroberts@charlotteobserver.com   | 11/24/2016 | No responsive records; sought "access to any and all public information from the calendars, including, but not limited to, meeting dates, times, locations, participants and purposes." The FFIEC Executive Secretary's calendar is created for her personal use and is not distributed to any FFIEC staff. Therefore the calendar is not an agency record of the FFIEC and is not subject to the FOIA. Accordingly, there are no responsive documents to provide.   |

FFIEC FOIA LOG  
CY 2016

| FFIEC Tracking # | Requestor                    | Date R'cd  | Nature of Request   |
|------------------|------------------------------|------------|---|
| 016-033          | Mr. Jeffrey Baek (self)      | 11/30/2016 | Denied, improper FOIA; sought to have Disney Parks and Universal Parks ban some attractions and bring back certain attractions. Requestor stated that inquiry "is to vote for new Law Propositions in new elected law about 9 things claiming to theme parks between DisneyParks and UniversalParks about law to vote what to bring back and what to ban one attractions at DisneyParks and UniversalParks to be more new less and peaceful in World Governor Law Propositions info" and detailed the 9 attractions for which the requestor sought a ban or return in the parks.  |
| 016-034          | Mr. Jeffrey Baek (self)      | 11/30/2016 | Denied, improper FOIA; requestor informs the FFIEC of a "reporting ban some of Facebook" and "some Instagram needs to Ban Private to change into Unlock for Public as Free to follow." In your email you detail what appears to be references to 13 Facebook account profiles and note that "one of FB company has been made a mistake error accidentally disabled my friends on FB account that accidentally blocked me in my account..."  |
| 016-035          | Mr. Philip Parzygnat (self)  |            | Granted, already publically available; sought FFIEC FOIA Logs "for the following years: 2014, 2015, 2016." The FFIEC FOIA Logs are publically available, by calendar year for seven years, on the FFIEC website, in the FOIA Electronic Reading Room (URL: <a href="http://www.ffiec.gov/foia.htm">http://www.ffiec.gov/foia.htm</a> ).   |
| 016-036          | Mr. Michael Ravnitzky (self) | 12/6/2016  | Granted, no responsive records; sought "FFIEC agency briefing materials related to the Presidential transition for Agency Review Teams or Agency Landing Teams." The FFIEC is not a federal financial regulator, nor is it an Executive Branch Agency. The federal financial regulatory member agencies of the FFIEC are as follows: the Board of Governors of the Federal Reserve System, the Federal Deposit Insurance Corporation, the Office of the Comptroller of the Currency, the Consumer Financial Protection Bureau, and the National Credit Union Administration. The heads, or designees, of these agencies are also the principals of the FFIEC. FFIEC Annual Reports to Congress can be accessed from this webpage: <a href="https://www.ffiec.gov/reports.htm">https://www.ffiec.gov/reports.htm</a> . |
| 016-037          | Mr. Mike Hardy (self)        | 12/22/2016 | Granted, no responsive records; sought "a CSV file with a list of all mortgage banking companies and mortgage loan originators in the United States with contact information." The FFIEC is not a federal regulator, does not oversee mortgage lending, and does not maintain a listing of mortgage companies.<br><br>The Nationwide Mortgage Licensing System and Registry (NMLS) is a data base of licensed mortgage loan originators, where you can verify a mortgage lender on a state by state search on their website at this URL: <a href="http://mortgage.nationwidelicencingsystem.org/consumer/Pages/AgencyContacts.aspx">http://mortgage.nationwidelicencingsystem.org/consumer/Pages/AgencyContacts.aspx</a> .  |
| <b>FINAL</b>     |                              |            |   |