

FFIEC FOIA LOG
CY 2015

FFIEC Tracking #	Requestor	Date R'cd	Nature of Request
015-001	foia_request@integrafec.com	1/30/2015	Granted; FOIA Logs already available on public website.
015-002	Emily Goldman, Cornell University, Ithaca, NY 14850	2/2/2015	Not an agency record - Block Group in CSV documents for each year from 2007-2013 as HMDA does not require Block Grp to be reported; Granted - Census data already publicly available.
015-003	Carmela D'Avino Lecturer in Financial Economics, School of Business and Law, University of East London, Office UH.1.17, Stratford Campus, Water Lane, London, E15 4LZ	3/12/2015	Granted; Aggregate data requested from Forms FFIEC 030; FORBC482, FORBC483, FORBC485, FORBC486.
015-004	Sebastian (Sam) Palladino (self)	4/6/2015	Not an agency record (mortgage licensing).
015-005	Jennifer Wittick, DTCC, 570 Washington Boulevard, Jersey City, NJ 07310	4/9/2015	Not an agency record (exam results).
015-006	Taylor Yewell, RKG Associates, 300 Montgomery Street, Suite 203, Alexandria, VA 22314	4/15/2015	Not an agency record (HMDA records for cities in OK, only available at county and census tract level).
015-007	Carmela D'Avino Lecturer in Financial Economics, School of Business and Law, University of East London, Stratford Campus	5/3/2015	Granted; Excel file attached containing aggregate data requested: Form FFIEC 030; FORB2170, FORB00033, FORB00034, FOR2950, FOR2623, FOR2625.
015-008	Jerrilynn Roberson (self)	6/10/2015	Denied, improper FOIA (seeking assistance with a mortgage).
015-009	Pema Levy, c/o Mother Jones, 1319 F Street NW, Suite 810 Washington, DC 20004	6/22/2015	Located no documents or communications with Senator Ted Cruz for time period in question.

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015-010	Mr. John P. Hackney, PhD Student-Finance, University of Washington, Seattle, WA 98195	6/24/2015	Denied: Exemption 4 and Exemption 6 of the FOIA, U.S.C. § 552(b)(4) and (vi). "The number and amount of loans by lending institution to each Census tract, for each year from 1997-2013." CRA Statute: "That disclosure at this level for each institution might invade the privacy of small business and small farm borrowers, could reveal protected business information, might erroneously signal an expectation that an institution lend in each census tract in its assessment area(s), and might lead to misinterpretation of the data."
015-011	Leonard F. Suzio Jr. President GeoDataVision, 61 N Plains Industrial Road, PBN 174, Wallingford, CT 06492	6/30/2015	Denied: Exemption 4 and Exemption 6 of the FOIA, U.S.C. § 552(b)(4) and (vi). "Individual institutions' CRA loan data (loan number/amount) for each Census tract is withheld due to privacy concerns - disclosure at this level for each institution might invade the privacy of small business and small farm borrowers, could reveal protected business information, might erroneously signal an expectation that an institution lend in each census tract in its assessment area(s), and might lead to misinterpretation of the data."
015-011 APPEAL	Leonard F. Suzio Jr. President GeoDataVision	7/28/2015	Denied, CRA data is not collected, owned, or managed by the FFIEC, rather it is the ownership of the financial institutions' primary supervisory Federal regulator (FRB, FDIC, and OCC). Upon de novo review the FFIEC response to the original FOIA request should have been denied as "no responsive records."
015-012	Siddharth Vij, PhD student in Finance, NYU Stern School of Business	7/2/2015	Granted re: four of the five data fields requested. HMDA data from 2007 to 2013 on FFIEC website; HMDA data from 1990 to 2012-free download on National Archives website. Denied re: "Month" data field, confidential to protect the identity and privacy of the individual loan applicant. Exemption 6 of the FOIA, U.S.C. § 552(b)(6).
015-013	Jordan Robertson, Bloomberg News, JROBERTSON40@bloomberg g.net	7/16/2015	Denied, no responsive records; Expedited request for cyber-security audits reports of depository banks.
015-014	Mike Hardy (self)	7/27/2015	Denied, no responsive records; loan level data not collected in FFIEC data sets.
015-015	Richard Nelson (self) Jessica Giorgianni, Sr. Project Planner, H2M Architects and Engineers, 119 Cherry Hill Road, Suite 200, Parsippany, NJ 07054	7/30/2015	Granted, all publicly available via FFIEC.Gov, "documentation of interagency process" detailed in annual report; FFIEC "interagency guidance central location" found on FFIEC.GOV's Federal Register listing and at www.archives.gov/federal-register/index.html.
015-016	Alexandra Clair (self)	8/5/2015	Denied, not agency record, HMDA data does not include information asked for (loan level data for portion of City of Newark, NJ, Census tracts 0026.00, 0018.00, and 0231.00) and FFIEC does not track loan status reported under HMDA. HMDA raw data by Census Tract are publically available on FFIEC.GOV and at National Archives. Also, CFPB data tool could be helpful for their query.
015-017	James H. Barnes and Susie M. Barnes (self)	8/6/2015	Denied, not agency record; sought audits on TN License Mortgage Company, Kelley Mortgage. Requestor could seek information on the NMLS data base, and mortgage fraud should be reported to the CFPB.
015-018	Matt Bricken (self)	8/22/2015	Granted, HMDA raw data available on FFIEC.GOV for free.
015-019	Richard Gillmore (self)	10/5/2015	Denied, no responsive records, no communication with Congressman John Delaney of Maryland by the FFIEC from January 1, 2013 to present.
015-020	Richard Gillmore (self)	10/5/2015	Denied, improper FOIA (seeking assistance with a mortgage).

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015-021	Andrew Hackett, VP National Corporate Research, Ltd. 1025 Vermont Ave, NW, Suite 1130 Washington, DC 20005	10/7/2015	Granted in part, FFIEC FOIA Logs on FFIEC.GOV; denied as no records regarding correspondence from Unite Here or its agents.
015-022	Scott Cardwell, Claims Administrator, Finance and Revenue Solutions, Xerox Business Services LLC, 100 Hancock Street, 10th Fl., Quincy, MA 02171	10/9/2015	Request was made for "any stale checks, undeliverable checks or other property owed to but unclaimed by the following entities: ACS Lending, Inc., and Xerox Business Services or one of its subsidiaries" held by the FFIEC. No responsive records.
015-023	Ms. Karen Mefford Chief Technology Officer Seattle Bank 600 University Street, Suite 1850 Seattle, WA 98101	10/29/2015	Denied, TSPs ROE are not FFIEC records.
015-024	Mr. Bill Himpler, Executive VP, American Financial Services Association, c/o Ms. Celia Winslow, 919 18th Street NW, Suite 300, Washington, DC 20006 cwinslow@afsamail.org	11/9/2015	Denied, policy statements, instructions to staff and examiners, etc re termination of banking relationships are not FFIEC records.
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