

Federal Financial Institutions Examination Council



# Country Exposure Information Report—FFIEC 009a

## Report at the close of business \_\_\_\_\_

Month / Day / Year

This report is required to be filed pursuant to authority contained in the following statutes: 12 U.S.C. §§ 161 and 1817 (national banks), 12 U.S.C. § 1464 (federal savings associations), 12 U.S.C. §§ 248(a), 1844(c), and 3906 (state member banks and bank holding companies); 12 U.S.C. §§ 1467a(b)(2) and 5412 (savings and loan holding companies); 12 U.S.C. 5365(a) (intermediate holding companies); and 12 U.S.C. §§ 1817 and 1820 (insured state nonmember commercial and savings banks and insured state savings associations).

All FFIEC 009a respondents must submit their completed report via the Federal Reserve System's Reporting Central application within 45 days of the reporting date for March 31, June 30, and September 30, and within 50 calendar days of the December 31 reporting date. Any FFIEC 009a respondent who needs guidance on Reporting Central is encouraged to visit the Federal Reserve System website, <http://www.frb services.org/centralbank/reportingcentral/index.html>, for additional information.

Reporting Institution (CEXA 9017)

Name, title, and phone number of the person to whom inquiries regarding this report may be directed:

City (CEXA 9130)

State (CEXA 9200)

Name (CEXA 8901)

Title (CEXA C496)

Legal Entity Identifier (LEI) of the Reporting Institution  
(Report only if the reporting institution already has an LEI.) (CEXA 9224)

Area Code / Phone Number (CEXA 8902)

**A copy of this report will be made available to the public at <http://www.ffiec.gov/e16.htm>.**

Public reporting burden for this collection of information is estimated to average 6.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. A federal agency may not conduct or sponsor, and an organization (or a person) is not required to respond to a collection of information, unless it displays a currently valid OMB control number. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project, Washington, DC 20503, and to one of the following: Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551; Assistant Executive Secretary, Federal Deposit Insurance Corporation, Washington, DC 20429; and Legislative and Regulatory Analysis Division, Office of the Comptroller of the Currency, Washington, DC 20219.

# Country Exposure Information Report

Information on exposure (as defined for Column 6) to any country that exceeds .75 percent of the reporting institution's total assets or 15 percent of its total capital, whichever is less.

U.S. Dollar Amounts in Millions		Immediate-Counterparty Basis		Guarantor Basis				Gross Foreign-Office Liabilities	Distribution of Amounts in Column 1 and 2 (Immediate Counterparty Basis)				Distribution of Amounts in Column 6 (Guarantor Basis Includes Derivative Products)						Distribution of Amounts in Columns 3 and 4 (Guarantor Basis, Except Column 19)			Collateral Held Against Claims With No Risk Transfer (Reported in Columns 3 and 4—By Counterparty)				
		Amount of Cross-Border Claims (Excluding Derivative Products)	Amount of Foreign Office Claims on Local Residents in Local and Non-Local Currencies (Excluding Derivative Products)	Amount of Cross-border Claims Outstanding After Mandated Adjustments for Transfer of Exposure (Excluding Derivative Products)	Amount of Foreign Office Claims on Local Residents (Excluding Derivative Products)	Amount of Gross Claims Outstanding from Derivative Products after Mandated Adjustments for Transfer of Exposure	Total of Columns (3) Plus (4) Plus (5)		By Type of Borrower				By Type of Borrower				By Maturity		Trading Assets		Securities (HTM and AFS)	Total Collateral	Of Which, Cash	Of Which, Same Country	Of Which, Resale and Reverse Repurchase Agreements and Securities Lending (Counterparty)	
									Banks	Public	NBFIs	Other	Banks	Public	NBFIs	Other	One Year and Under	Over One Year	Trading Assets	Offsetting Positions for Trading Book						
									PG80	PG81	PG82	PG83	M884	M885	M886	M887	M888	M889	M956	M874						M869
Country	CEXA	PG78	PG79	5215	M881	M882	4254	M883	PG80	PG81	PG82	PG83	M884	M885	M886	M887	M888	M889	M956	M874	M869	M870	M871	M872	M873	
		(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	(12)	(13)	(14)	(15)	(16)	(17)	(18)	(19)	(20)	(21)	(22)	(23)	(24)	

Statement by management of the reporting institution concerning the information reported above (optional). (CEXA 5224)

**Management's statement will not be edited or screened by the supervisory agencies. Disclosure of the statement shall not signify that any federal supervisory agency has verified or confirmed the accuracy of the information contained therein.**

**Country Exposure Information Report—Continued**

Information on exposure (as defined for Column 6) to any country that exceeds .75 percent of the reporting institution's total assets or 15 percent of its total capital, whichever is less.

U.S. Dollar Amounts in Millions		Cross-Border and Foreign-Office Commitments and Guarantees		Credit Derivatives (By Reference Entity)			
				Gross-Gross		Gross-Net	
Country		Unused Commitments	Guarantees (Excluding Credit Derivatives Sold)	Total Credit Derivatives Purchased	Total Credit Derivatives Sold	Total Credit Derivatives Purchased	Total Credit Derivatives Sold
CEX59209	CEXA	C936	M875	M876	M877	M878	M879
		(25)	(26)	(27)	(28)	(29)	(30)